

HEM HOLDINGS AND TRADING LIMITED

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HEM/SKS/BSE/2014/Shareholding

14.04.2014

To

The Manager - Dept.of Corporate Services
Bombay Stock Exchange Ltd.
Phiroze Jeejeebhoy Towers 25th Floor
Dalal Street ,
Mumbai - 400 001

Re : Submission of Share holding pattern for the quarter ended on 31.03.2014

Dear Sir,

Please find herewith share holding pattern for the quarter ended 31.03.2014 which you should find in order.

Thanking You

Yours Faithfully

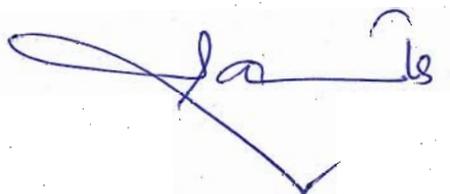
For HEM HOLDINGS AND TRADING LIMITED


DIRECTOR
Encl.a.a.



COVERING LETTER
Statement Showing Shareholding Pattern

Name of the Company		HEM HOLDINGS AND TRADING LIMITED	
Scrip Code, Name of the Scrip, Class of Security			
Quarter Ended		31/03/14	
Partly Paid up Shares	No. of Partly Paid up Shares	As a % of total no. of shares of the Company	As a % of total no. of shares of the Company
Held by Promoter/promoters' group			
Held by Public			
Total			
Outstanding Convertible Securities:-	No. of outstanding securities	AS a % of total no. of outstanding convertible securities	As a % of total no. of shares of the Company, assuming full conversion of the convertible securities
Held by Promoter/promoters' group			
Held by Public			
Total	-	-	-
Warrants:-	No. of Warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by Promoter/promoters' group			
Held by Public			
Total	-	-	-
Total Paid up capital of the Company assuming full conversion of warrants and convertible securities	240000		





(1) (a) SHAREHOLDING PATTERN UNDER CLAUSE 35

Name of Company	HEM HOLDINGS AND TRADING LIMITED
Scrip Code :	Quarter Ended : 31.03.2014

Category code	Category of shareholder	Number of share holders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of Shares	Shares Pledged or otherwise encumbered
					As a percentage of (A+B) ¹	As a percentage of (A+B+C) ¹		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(IV)*100
(A)	SHAREHOLDING OF PROMOTER AND PROMOTER GROUP							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	3	51702	-	21.54	21.54	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	4	23043	-	9.60	9.60	-	-
(d)	Financial Institutions/ Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	7	74745		31.14	31.14	0	0



(2)	Foreign								
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)								
(b)	Bodies Corporate								
(c)	Institutions								
(d)	Any Other (specify)								
	Sub-Total (A)(2)								
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	7	74745		31.14	31.14	0	0	
(B)	PUBLIC SHAREHOLDING								
(1)	Institutions								
(a)	Mutual Funds/ UTI	-	-	-	-	-	-	-	-
(b)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
(c)	Central Government/ State Government(s)	-	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-	-	-
(f)	Foreign Institutional Investors	-	-	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-
(h)	Any Other (specify)	-	-	-	-	-	-	-	-
	Sub-Total (B)(1)								



(2)	Non-institutions								
(a)	Bodies Corporate								
(b)	Individuals - i. Individual shareholders holding nominal share capital upto Rs. 1 lakh. ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	356	165255	-	68.86	68.86	-	-	
(c)	Any Other (specify) (i) Non Resident Indian								
	Sub-Total (B)(2)	356	165255	-	68.86	68.86	-	-	
	Total Public Shareholding (B) = (B)(1)+(B)(2)	356	165255	-	68.86	68.86	-	-	
	TOTAL (A)+(B)	363	240000	-	100	100	-	-	
(c)	Shares held by Custodians and against which Depository Receipts have been issued								
(1)	Promoter and Promoter Group								
(2)	Public								
	GRAND TOTAL (A)+(B)+(C)	363	240000	-	100	100	0	0	



(1) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of person belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of Shareholder	Details of Shares held			Encumbered Shares			Details of warrant			Detail of convertible securities		Total shares (including underlying shares assuming conversion of warrants and convertible securities) as a of diluted share capital
		Number of shares held	As % of grand total (A)+(B)+(C)	No.	As a percentage $(\frac{V}{(III)} * 100)$	As % of grand total (A)+(B)+(C) of sub-clause (1) (a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	Total number of convertible securities			
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)		
1	P M Shah	2900	1.21								1.21		
2	Prabha M Shah	700	0.29								0.29		
3	Ketan M Shah	48102	20.04								20.04		
4	Prabha Plantations Pvt Ltd	3000	1.25								1.25		
5	Prabha Plantations Pvt Ltd	3800	1.58								1.58		
6	Prabha Plantations Pvt Ltd	14643	6.10								6.10		
7	Sim Prabha Estates & Trading Co. Pvt Ltd.	1600	0.67								0.67		
		74745	31.14								31.14		



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(1) (c)(i)	Statement showing holding of securities (including shares, warrants , convertible securities) of persons belonging to the category "Public " and holding of more than 1% of the total number of shares	Name of the Shareholder	Number of shares held	Shares as a percentage of total number of shares { i.e. Grand Total (A) + (B) + (C) indicated at para (1)(a) above }	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as % of diluted share capital
					Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t. Total number of convertible securities of the same	
1		Leela Mahendra Shah	4500	1.88					1.88
2		Gita Mayur Shah	2500	1.04					1.04
3		Chandri Naveen Shah	5000	2.08					2.08
		TOTAL	12000	5.00					5.00

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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company							
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]	Details of warrants		Details of convertible securities	
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	p
1							4
TOTAL							

(I)(d) Statement showing details of locked-in shares			
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]
1			
2			
Total			

(II)(a) Statement showing details of Depository Receipts (DRs)			
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs
Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]			
TOTAL			

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares			
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs
Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]			
TOTAL			

