

# HEM HOLDINGS AND TRADING LIMITED

REGD.OFF. 601/602A, FAIRLINK CENTRE, OFF. ANDHERI LINK ROAD

ANDHERI (W), MUMBAI 400 053

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HEM/HCS/BSE/2013/Shareholding/Revised

15.10.2013

To,  
The Dy. Manager – Dept. of Corporate Services,  
Bombay Stock Exchange Ltd.,  
Phiroze Jeejeebhoy Towers, 25<sup>th</sup> floor,  
Dalal Street,  
Mumbai 400 001.

Re : Submission of share holding pattern for the quarter ended on 30.09.2013

Dear Sir,

Please find attached herewith share holding pattern for the quarter ended 30.09.2013, which you should find in order.

Thanking you,

Yours faithfully,  
For HEM HOLDINGS AND TRADING LIMITED

  
DIRECTOR  
Encl.a.a.



**COVERING LETTER**

**I (a) Statement showing Shareholding Pattern**

**Name of the Company : HEM HOLDINGS AND TRADING LIMITED**

**Scrip Code : \_\_\_\_\_ Class of Security :**

**Quarter ended : 30th September, 2013**

<b>Partly Paid-up shares</b>	<b>No. of Partly Paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the Company</b>
<b>Held by promoter / promoter group</b>			
<b>Held by public</b>			
<b>Total</b>	<b>-</b>	<b>-</b>	
<b>Outstanding convertible securities</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the Company, assuming full conversion of the convertible securities</b>
<b>Held by promoter / promoter group</b>			
<b>Held by public</b>			
<b>Total</b>			
<b>warrants</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the Company, assuming full conversion of warrants</b>
<b>Held by promoter / promoter group</b>			
<b>Held by public</b>			
<b>Total</b>			
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>			<b>240000</b>



**COVERING LETTER**

**I (a) Statement showing Shareholding Pattern**

**Name of the Company : HEM HOLDINGS AND TRADING LIMITED**

**Scrip Code : \_\_\_\_\_ Class of Security :**

**Quarter ended : 30th September, 2013**

<b>Partly Paid-up shares</b>	<b>No. of Partly Paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the Company</b>
<b>Held by promoter / promoter group</b>			
<b>Held by public</b>			
<b>Total</b>	-	-	
<b>Outstanding convertible securities</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the Company, assuming full conversion of the convertible securities</b>
<b>Held by promoter / promoter group</b>			
<b>Held by public</b>			
<b>Total</b>			
<b>warrants</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the Company, assuming full conversion of warrants</b>
<b>Held by promoter / promoter group</b>			
<b>Held by public</b>			
<b>Total</b>			
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>			<b>240000</b>



(I)(a) SHAREHOLDING PATTERN UNDER CLAUSE 35

Name of the Company:	HEM HOLDINGS AND TRADING LIMITED
Scrtn Code:	Quarter ended: 30.09.2013

Category code (I)	Category of shareholder(II)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialized form (V)	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C)(VII)	Number of shares	As a percentage (IX) – (VIII/IV)*100
(A)	Shareholding of Promoter and Promoter Group							
-1	Indian							
(a)	Individuals/ Hindu Undivided Family	3	39702	-	16.54	16.54	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	4	23043	-	9.60	9.60	-	-
(d)	Financial Institutions/Banks						-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (A)(1)	7	62745		26.14	26.14		-
-2	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
	Sub-Total (A)(2)							
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	7	62745		26.14	26.14	0	0.00



(I)(a) SHAREHOLDING PATTERN UNDER CLAUSE 35

Name of the Company:	HEM HOLDINGS AND TRADING LIMITED
Scrtn Code:	Quarter ended: 30.09.2013

Category code (I)	Category of shareholder(II)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialized form (V)	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C)(VII)	Number of shares	As a percentage (IX) – (VIII/IV)*100
(A)	Shareholding of Promoter and Promoter Group							
-1	Indian							
(a)	Individuals/ Hindu Undivided Family	3	39702	-	16.54	16.54	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	4	23043	-	9.60	9.60	-	-
(d)	Financial Institutions/Banks	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (A)(1)	7	62745	-	26.14	26.14	-	-
-2	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
	Sub-Total (A)(2)							
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	7	62745		26.14	26.14	0	0.00



(B)	<b>Public shareholding</b>							
-1	Institutions							
(a)	Mutual Funds/ UTI							
(b)	Financial Institutions/ Banks							
(c)	Central Government/ State Government(s)							
(d)	Insurance Companies							
(e)	FIIIs							
(f)	Foreign Venture Capital							
(g)	Any Other (specify)							
	1) Foreign Financial Instn							
	Sub-Total (B)(1)							
-2	Non-institutions							
(a)	Bodies Corporate							
(b)	Individuals							
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	382	177255		73.86	73.86	-	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.							
(c)	Any Other (Specify)	-	-	-	-	-	-	-
	1. Clearing Member	-	-	-	-	-	-	-
	2. Foreign Nationals	-	-	-	-	-	-	-
	3. NRIs	-	-	-	-	-	-	-
	4. NRN	-	-	-	-	-	-	-
	5. Foreign Company	-	-	-	-	-	-	-
	Sub-Total (B)(2)	382	177255		73.86	73.86	-	-
	Total Public Shareholding (B)=(B)(1)+(B)(2)	382	177255		73.86	73.86	-	-
	TOTAL (A)+(B)	389	240000		100.00	100.00	-	-
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
	GRAND TOTAL (A)+(B)+(C)	389	240000		100.00	100.00	0	0.00

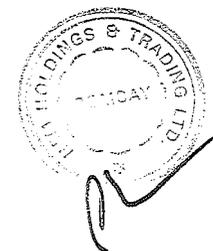


## (I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		No.	Encumbered shares		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	grand total (A)+(B)+(C)		As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	total number of convertible	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	P M Shah	2900	1.21	-							1.21
2	Prabha M Shah	700	0.29								0.29
3	Ketan M Shah	36102	15.04								15.04
4	Prabha Plantations Pvt.Ltd.	3000	1.25								1.25
5	Prabha Plantations Pvt.Ltd.	3800	1.58								1.58
6	Prabha Plantations Pvt.Ltd.	14643	6.10								6.10
7	Sim Prabha Estates & Trading Co. Pvt.Ltd.	1600	0.67								0.67
	<b>TOTAL</b>	<b>62745</b>	<b>26.14</b>								<b>26.14</b>



<b>(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares</b>							
<b>Sr. No.</b>	<b>Name of the shareholder</b>	<b>Number of shares held</b>	<b>Details of warrants</b>		<b>Details of convertible securities</b>		<b>Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital</b>
			<b>Number of warrants held</b>	<b>As a % total number of warrants of the same class</b>	<b>Number of convertible securities held</b>	<b>% w.r.t total number of convertible securities of the same</b>	
1	Leela Mahendra Shah	4500	1.88				1.88
2	Gita Mayur Shah	2500	1.04				1.04
3	Chandri Navin Shah	5000	2.08				2.08
	<b>TOTAL</b>	<b>12000</b>	<b>5.00</b>				<b>5.00</b>



(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company						
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of sharer, i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities
				Number of warrants	As a % total number of warrants of the same class	P
1						4
<b>TOTAL</b>						

(I)(d) Statement showing details of locked-in shares						
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)			
1						
2						
<b>Total</b>						

(II)(a) Statement showing details of Depository Receipts (DRs)				
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1				
<b>TOTAL</b>				

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1				
<b>TOTAL</b>				

