

# HEM HOLDINGS AND TRADING LIMITED

REGD. OFF. 601 /602 A, FAIRLINK CENTRE OFF. ANDHERI LINK ROAD  
ANDHERI (W) , MUMBAI 400 053, TEL NO. 40034768

Email : [compliance@hemholdings.com](mailto:compliance@hemholdings.com)/[investors@hemholdings.com](mailto:investors@hemholdings.com)

CIN: L65990MH1982PLC026823

---

Ref: HHTL/FY 2023-24/BSE/5

Date: 10.04.2023

To,  
BSE Limited  
Corporate Relationship Department,  
Phiroze Jeejeebhoy Towers  
Dalal Street,  
Mumbai: 400 001  
**Ref: Scrip Code: 505520**

**Sub: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2023.**

Dear Sir/Madam,

With reference to the captioned subject, please find enclosed herewith a Certificate issued under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, by Practicing Company Secretary for the financial year ended March 31, 2023.

You are requested to kindly take the same on your record.

Thanking You,

Yours Faithfully,

For, Hem Holdings & Trading Limited

AKANKSH  
A  
KOTWANI  
Digitally signed by  
AKANKSHA  
KOTWANI  
Date: 2023.04.10  
18:05:55 +05'30'

Akanksha Kotwani  
Company Secretary & Compliance Officer

## Company Secretaries

Office: Shop No-227, Second Floor, Zonal Market, Sector-10,Bhilai(C.G)-490001  
Mobile No :9993657370 Tel: 07884060239, E-Mail:cscmeena@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS ) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH , 2023

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Records, files and other documents relating to M/s. HEM HOLDINGS AND TRADING LTD, maintained by M/s. Link Intime India Private Limited at Mumbai, pertaining to transfer of Equity shares of the Company for the year ended on 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 pursuant to listing agreement entered into by M/s. HEM HOLDINGS AND TRADING LTD, with the BSE and basing on the information provided by the Company and hereby certify that during the year ended on 31<sup>st</sup> March, 2023:

- A) The Company has not received any request relating to the registration of transfer, sub division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of Shares during the period from 01st April, 2022 to 31st March, 2023.
- B) No share certificate(s) has been issued in respect of duplicate and split certificate(s) as there is no such request received by the company.

For, Meena Naidu & Associates



*Meena*

Meena Naidu  
Practicing Company Secretary  
Membership No: A28193  
COP No-23853  
Peer Review No: 1522/2021

UDIN: A028193E000046134  
Date : 10.04.2023  
Place : Bhilai